

<b>NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION</b> 11 <sup>th</sup> Floor, State Capitol P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522	<b>POTENTIAL CONFLICT OF INTEREST STATEMENT</b>  <b>NADC FORM C-2</b>	POSTMARK DATE	
		MICROFILM NUMBER	
BEFORE COMPLETING THIS FORM READ THE FILING REQUIREMENTS ON PAGE 3		OFFICE USE ONLY	

- An official or employee of the executive branch of state government or any other official or employee who is required to file Statements of Financial Interests must disclose a potential conflict of interest.
- File this Potential Conflict of Interest Statement with the Nebraska Accountability and Disclosure Commission and your immediate superior whenever a potential conflict on interest situation arises.
- Persons who fail to disclose potential conflict of interests or who otherwise do not comply with the law are subject to penalties.

<b>ITEM 1</b>	<b>NAME, ADDRESS AND TELEPHONE NUMBER</b>
Name _____ Telephone No. _____ <small>Last First Middle</small>	
Address _____ <small>STREET ADDRESS OR RURAL ROUTE City STATE ZIP CODE</small>	

<b>ITEM 2</b>	<b>TITLE, AGENCY, ADDRESS, PHONE AND SUPERIOR</b>
Your Title _____ Agency _____	
Agency Address _____ Agency Phone _____	
Name of Immediate Superior _____ Title _____	

<b>ITEM 3</b>	<b>DESCRIBE POTENTIAL CONFLICT OF INTEREST IN DETAIL (Use Item 7 Continuation, if necessary)</b>
Date action is to be taken or decision is to be made: _____	
Description of Potential Conflict:          	

**ITEM 4 PERSONS WHO MAY RECEIVE FINANCIAL BENEFIT OR DETRIMENT**

You

Member of your Immediate Family: \_\_\_\_\_  
NAME

Business With Which You

Are Associated (See Definitions) \_\_\_\_\_  
NAME OF BUSINESS

**ITEM 5 NATURE OF FINANCIAL BENEFITS**

**ITEM 6 FOR MEMBERS OF THE LEGISLATURE ONLY**

If you will not abstain from acting on a matter state why, despite the potential conflict, you intend to vote or otherwise participate.

**ITEM 7 CONTINUATION**

\_\_\_\_\_  
(SIGNATURE)

\_\_\_\_\_  
(DATE)

## General Information - Filing Requirements

### I. Who Must File:

- A. All officials and employees of the executive branch of state government, including member of State Boards or Commissions, and all other officials and employees of the State who are required to file Statements of Financial Interests.
  - B. Members of the Board of Regents of the University of Nebraska.
  - C. State Senators (see special instructions in Section II-B below).
  - D. Members of boards of directors or officers of a district organized under the provisions of Chapter 70, to wit: public power districts, rural power districts, etc.
  - E. A member of any board or commission of any county which examines or licenses a business, trade or profession, or which determines rates for or otherwise regulates a business.
  - F. A member of a land-use planning commission, zoning commission, or authority of the State or any county with a population of more than 100,000 inhabitants.
  - G. Elected county officials
  - H. An elected official of a city of the primary or metropolitan class.
- B. Members of the State Legislature will file this Potential Conflict of Interest Statement with the Commission and with the Speaker of the Legislature. If the member intends to vote, deliberate or take any other action on the matter giving rise to the potential conflict, the Legislator shall state why, despite the potential conflict, he or she intends to vote or otherwise participate. If the member intends to abstain because of the potential conflict of interest, he or she may have the reasons for abstention recorded in the journal or minutes of the legislature. In any event, this Statement of Potential Conflict of Interest must be filed.
- C. This statement should be filed with the Nebraska Accountability and Disclosure Commission, 11<sup>th</sup> Floor, State Capitol, P.O. Box 95086, Lincoln, NE 68509, and a copy with your immediate superior.

**Disclosure of Contractual Interests by Local Officers.** If you are disclosing an interest in a contract or an open account in which a local governing body on which you serve is a party, use NADC Form C-3, Contractual Interest Statement.

**Disclosure of the Employment of Immediate Family Members.** If you are disclosing the employment of an immediate family member, use NADC Form C-4, Employment of Immediate Family Members Disclosure Statement.

### II. When and Where to File:

- A. This form should be filed with the Commission and a copy with your immediate superior as soon as you are aware of a potential conflict of interest. The immediate superior shall assign the matter which gives rise to the potential conflict to another employee.

In the event that you do not have an immediate superior, the Commission will, after receiving the filing,

A potential conflict of interest exist when you, in the discharge of your official duties, would be required to take an action or make any decision that may cause financial benefit or detriment to you, a member of your immediate family or a business with which you are associated which is distinguishable from the effects of such action on the public generally, or a broad segment of the public.

Immediate family shall mean a child residing in your household, your spouse or an individual claimed by you or your spouse as a dependent for federal income tax purposes.

Business with which you are associated shall mean a business: (1) of which you are the sole proprietor; (2) or in which you are a partner, director, or officer; (3) or in which you

### Definitions

or a member of your immediate family is a stockholder of closed corporation stock worth \$1,000 or more at fair market value or which represents more than a 5 percent equity interest, or is a stockholder of publicly traded stock worth \$10,000 or more at fair market value or which represents more than a 10 percent equity interest.

Person means a business, individual, proprietorship, firm partnership, joint venture, syndicate, business trust, labor organization, company, corporation, association, committee, or any other organization or group of persons acting jointly.

Statutory Authority: Section 49-1499 Revised Statutes of Nebraska.